

**BIRCH, EWART, KOLASCH & BIRCH, LLP**

TERRELL C. BIRCH  
RAYMOND C. STEWART  
JOSEPH A. KOLASCH  
JAMES M. SLATTERY  
BERNARD L. SWEENEY\*  
MICHAEL K. MUTTER  
CHARLES GORENSTEIN  
GERALD M. MURPHY, JR.  
LEONARD R. SVENSSON  
TERRY L. CLARK  
ANDREW D. MEIKLE  
MARC S. WEINER  
JOE McKINNEY MUNCY  
ROBERT J. KENNEY  
DONALD J. DALEY  
JOHN W. BAILEY  
JOHN A. CASTELLANO, III

OF COUNSEL:  
HERBERT M. BIRCH (1905-1996)  
ELLIOT A. GOLDBERG\*  
WILLIAM L. GATES\*  
EDWARD H. VALANCE  
RUPERT J. BRADY (RET.)\*

\*ADMITTED TO A BAR OTHER THAN VA.

INTELLECTUAL PROPERTY LAW  
8110 GATEHOUSE ROAD  
SUITE 500 EAST  
FALLS CHURCH, VA 22042-1210  
USA

(703) 205-8000

FAX: (703) 205-8050  
(703) 698-8590 (G IV)

e-mail: mailroom@bskb.com  
web: http://www.bskb.com

CALIFORNIA OFFICE  
650 TOWN CENTER DRIVE, SUITE 1120  
COSTA MESA, CA 92626-7125

GARY D. YACURA  
THOMAS S. AUCHTERLONIE  
MICHAEL R. CAMMARATA  
JAMES T. ELLER, JR.  
SCOTT L. LOWE  
MARY ANN CAPRIA  
MARK J. NUEL, PH.D.  
DARIN E. BARTHOLOMEW  
D. RICHARD ANDERSON  
PAUL C. LEWIS  
W. KARL RENNER  
MARK W. MILSTEAD\*  
JOHN CAMPA\*  
REG. PATENT AGENTS  
FREDERICK R. HANDREN  
ANDREW J. TELESZ, JR.  
MARYANNE ARMSTRONG, PH.D.  
MAKI HATSUMI  
MIKE S. RYU  
CRAIG A. McROBBIE  
GARTH M. DAHLEN, PH.D.  
LAURA C. LUTZ  
ROBERT E. GOOZNER, PH.D.  
HYUNG N. SOHN  
MATTHEW J. LATTIG  
ALAN PEDERSEN-GILES  
JUSTIN D. KARJALA

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PTO  
09/466174

Date: December 17, 1999

Docket No.: 0717-0429P

Assistant Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

As authorized by the inventor(s), transmitted herewith for filing  
is a patent application applied for on behalf of the inventor(s)  
according to the provisions of 37 CFR 1.41(c).

Inventor(s): KAWANISHI, Hidenori  
SHIMONAKA, Atsushi

For: SEMICONDUCTOR LASER DEVICE WITH SPOT-SIZE CONVERTER AND  
METHOD FOR FABRICATING THE SAME

Enclosed are:

- X  A specification consisting of  50  pages
- X   15  sheet(s) of  Formal  drawings
- Certified copy of Priority Document(s)
- X  Executed Declaration in accordance with 37 CFR 1.64 will follow
- A verified statement to establish small entity status under 37  
CFR 1.9 and 37 CFR 1.27
- Preliminary Amendment
- X  Information Sheet
- Information Disclosure Statement, PTO-1449 with reference(s)

Other \_\_\_\_\_

The filing fee has been calculated as shown below:

LARGE ENTITY				SMALL ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE FEE		RATE FEE
BASIC FEE	***** ***** *****	***** ***** *****	***** ***** ***** \$760.00	or	**** **** **** \$380.00
TOTAL CLAIMS	15 - 20 =	0	x18 =\$ 0.00	or	x 9 = \$ 0.00
INDEPENDENT	3 - 3 =	0	x78 =\$ 0.00	or	x 39 = \$ 0.00
MULTIPLE DEPENDENT CLAIM PRESENTED <u>no</u>			+260 = \$ 0.00	or	+130 = \$ 0.00
			TOTAL \$ 760.00		TOTAL \$ 0.00

- X The application transmitted herewith is filed in accordance with 37 CFR 1.41(c). The undersigned has been authorized by the inventor(s) to file the present application. The original duly executed patent application together with the surcharge will be forwarded in due course.
- X A check in the amount of \$ 760.00 to cover the filing fee and recording fee (if applicable) is enclosed.

\_\_\_\_\_ The Government Filing Fee will be paid at the time of completion of the filing requirement.

\_\_\_\_\_ Please charge Deposit Account No. 02-2448 in the amount of \$\_\_\_\_\_. A triplicate copy of this transmittal form is enclosed.

X Send Correspondence to: BIRCH, STEWART, KOLASCH & BIRCH, LLP  
P. O. Box 747  
Falls Church, Virginia 22040-0747

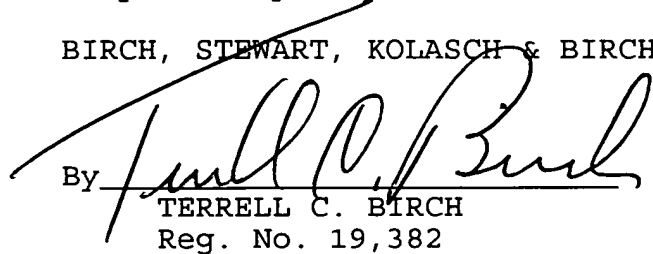
— No fee is enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. 1.16 or under 37 C.F.R. 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By



TERRELL C. BIRCH

Reg. No. 19,382

P. O. Box 747

Falls Church, Virginia 22040-0747

(703) 205-8000  
TCB/dll

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